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MS AF REPLY UNDER 37 C.F.R. § 1.116 EXPEDITED PROCEDURE **EXAMINING GROUP 1626**

> PATENT 3273-0153P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Yasutaka ISHII et al. Conf.:

Appl. No.: 10/092,554

Group: 1626

Filed:

March 8, 2002

Examiner: TSANG

For:

CATALYST COMPRISING A CYCLIC IMIDE COMPOUND AND PROCESS FOR PRODUCING ORGANIC COMPOUNDS USING THE CATALYST

LARGE ENTITY TRANSMITTAL FORM FOR REPLY AFTER FINAL UNDER 37 C.F.R. § 1.116

MS AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 September 22, 2004

Sir:

Transmitted herewith is an Interview Summary in the aboveidentified application.

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Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

Raymond C. Stewart, #

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RCS/RG/csm 3273-0153P

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Attachment(s)

(Rev. 02/08/2004)



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1456

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INTERVIEW SUMMARY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 September 22, 2004

Sir:

On 21 September 2004, Applicants' representative Richard Gallagher conducted a telephonic interview with Examiner Tsang. The following points were made by Applicants' representative, with the Examiner's response to each indicated.

DOUBLE PATENTING.

Claims 3 and 4 were rejected on the ground of obviousness-type double patenting over claims 4 and 7-9 of US 6,232,258 B1.

NO CONFLICT IN SUBJECT MATTER. The claims in question require an SP of less than or equal to 26 (MPa)^{1/2}. This clearly distinguishes the compounds of the present claims from the compounds of US 6,232,258 B1.

NO COMMON OWNERSHIP. Double patenting rejections are appropriate only for "commonly owned" applications and patents. MPEP 706.02(l)(1). However, common ownership does not exist in the present situation, because US 6,232,258 B1 is owned by the entity 'Daicel Chemical Industries, Ltd. along with Yasutaka Ishii', while the present application is owned by the different entity 'Daicel Chemical Industries, Ltd'.

Each of these reasons alone provides sufficient basis for withdrawal of the double patenting rejection, which action is respectfully solicited.

The Examiner indicated that he would reconsider whether there was in fact any conflict in the subject matter. However, he indicated that if he remains unconvinced that there is no conflict in subject matter, "one person cannot have two patents on a single invention" and that therefore he would maintain the double patenting rejection.

FORMULAS: METHYLS.

Claim 1 refers to a Formula (I) – that is, Roman numeral "I". Claim 2 refers to a Formula (1) – that is, Arabic numeral "1".

The two lines on the lefthand side of Formula (I) are not used by Applicants to designate methyl groups. Instead they are bonds (open valences). Formula (1) in claim 2 describes preferred groups that can satisfy the open valences of Formula (I) in claim 1.

The Examiner indicated that regardless of Applicants' intention, the two lines on the lefthand side of Formula (I) look like methyl groups. Formula (I) should be amended to replace the lines with variables such as R^a and R^b. However, any such change must have support in the specification. Alternatively, Applicants may drop Formula (I) in favor of Formula (1).

FORMULAS: MULTIPLE SUBSTITUENTS.

The substituent designation $\{R^*O-C(=O)\}_n$ - means that the benz ring in Formula (II) may carry 1, 2, 3, or 4 substituent groups of the formula $R^*O-C(=O)$ -. When the benz ring carries 2, 3, or 4 of those substituent groups, the 2, 3, or 4 groups can be the same or different.

The Examiner indicated that since there is only one bond extending into the benz ring, Formula (II) is confusing. Applicants should consider deleting the substituent from the formula and rewriting the text after the formula to read something like: "wherein said cyclic imide is substituted in

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the 3-, 4-, 5-, and/or 6-position by a group of the formula $-C(=O)-OR^{*}$

wherein Rx is a hydrocarbon group having five or more carbon atoms and

wherein Rx may be the same or different when said cyclic imide is

substituted by more than one said group". This should also take care of the

problems with dependent claims 13 and 14

Should there be any outstanding issues that need to be resolved in the

present application, the Examiner is respectfully requested to contact

Richard Gallagher (Reg. No. 28,781) at (703) 205-8008.

If necessary, the Commissioner is hereby authorized in this,

concurrent, and future replies, to charge payment or credit any overpayment

to Deposit Account No. 02-2448 for any additional fees required under 37

C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

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